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APR 03 7006				
TRADEMARK		Application Number	10/635,75	2
		Filing Date	August 5,	2003
TRANSMITTAL FOR		First Named Inventor	Vincent A	. Larsen
(to be used for all correspondence during po- filed application)	endency of	Group Art Unit Number	2131	
		Examiner Name	Ayaz R. S	heikh
Total Number of Pages in This Submission	6*	Attorney Docket Number	24089-092	281
ENCL	OSURES	(check all that apply	/)	
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parl Assignment & Recordation Cover Sheel Declaration Power of Attorney Application Data Sheet Supplemental Information Disclosure S PTO/SB/08A Copy of IDS Cited Non-Pater Request for Corrected Filing Receipt Request for Correction of Recorded Assignment/Response: Amendment/Response: After Final Status Request Revocation and Substitute Power of Att	tatement & at References signment	Appeal Commun Interferences Appeal Commun (Appeal Notice, I) Certified Copy of After Allowance C	raftsperson s):) of Figure(s) [ication to Boar ication to Grou Brief, Reply Br Priority Docum	d of Appeals and up ief) nent(s)
Signaturo:		ATTORNEY OR AGEN	İT	
Attorney/Reg. No.: Pierre Keeley, Reg. N			Dated:	3/00/01
			Dateu:	3/27/06
I hereby certify that this correspondence, including the first class mail in an envelope addressed to: Commis If the Express Mail Mailing Number is filled in below, "Express Mail Post Office to Addressee" service pure	ne enclosures ionsioner for Pate	ents, P.O. Box 1450, Alexandria, spondence is being deposited wi	VA 22313-1450	on the date shown below
Signature: Pierre	Keelen			
Typed or Printed Name: Pierre Keeley		•	Dated:	3/27/06
Express Mail Mailing Number (optional):				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Vincent A. Larsen

APPLICATION NO.:

10/635,752

FILING DATE:

August 5, 2003

TITLE:

Process Based Security System Authentication System and Method

EXAMINER:

Ayaz R. Sheikh

GROUP ART UNIT:

2131

ATTY. DKT. NO.:

24089-09281

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

\boxtimes	within three months of the filing date of the application, or date of entry into
	the national stage of an international application, or before the mailing date of
	a first office action on the merits, whichever event last occurred;
	before the mailing of a first official action after the filing of a request for
	continued examination (RCE) under 37 CFR § 1.114;

· L J			on the fining date of this national application of the date of
	•		ational stage in an international application, or after the mailing
	date of	f the fir	st official action on the merits, whichever event last occurred, but
	before	the ma	iling date of the first to occur of either: (1) a final action under
	37 CF	R §1.11	3; or (2) an action that otherwise closes prosecution in the
	applica	ation, a	nd:
		attacl	ned hereto is the fee set forth under 37 CFR §1.17(p) for
		subm	sission of this Information Disclosure Statement under 37 CFR.§
		1.97(c); OR
		Appli	icant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication from
			a foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			OR
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained
			in this Statement was known to any individual designated
			under 37 CFR § 1.56(c) more than three months prior to the
	•		filing of this Statement;
П	on or	before	the payment of the issue fee but after the mailing date of the first
_			ither: (1) a final action under 37 CFR § 1.113; (2) a notice of
			nder 37 CFR § 1.311; or (3) an action that otherwise closes
			n the application, and:
			icant certifies pursuant to 37 CFR. § 1.97(e) that:
	_		each item of information contained in this Information
		<u> </u>	Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application not
	٠		more than three months prior to the filing of this Statement;
			no item of information contained in this Information
		ш.	Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained

,	in this Statement was known to any individual designated	
	under 37 CFR § 1.56(c) more than three months prior to the	3
	filing of this Statement; AND	
	attached hereto is the fee set forth under 37 CFR §1.17(p) for	
	submission of this Information Disclosure Statement under 37 CFR	₹.§
	1.97(d); OR	0
	after the payment of the issue fee. Applicant requests that the information	
	contained in this Information Disclosure Statement be placed in the file	
	according to 37 CFR § 1.97(i), although the information may not be	
	considered by the USPTO.	
	Enclosed is a copy of each listed reference that may be material to the examination	10
_	this application, and for which there may be a duty to disclose.	
	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior	
	application No, filed on, and the references cited therein are here	eb
	referenced, but are not required to be provided in this application under 37 CFR §	
	1.98(d).	
\boxtimes	Copies of any foreign patent documents and non-patent literature cited herein are	
	enclosed.	
	Each item of information contained in this Information Disclosure Statement was	
	cited in a communication from a foreign patent office in a counterpart application,	
	and the communication was not received by any individual designated in 37 CFR	§
	1.56(c) more than thirty days prior to the filing of this Information Disclosure	
	Statement. 37 CFR § 1.704(d).	
\boxtimes	Applicant submits that no fee is required for the consideration of this Information	
_	Disclosure Statement.	
Cons	sideration of the listed references and favorable action are solicited.	
	Respectfully submitted,	
	VINCENT A. LARSEN	
	\mathbb{Q}	
	5/27/06 By: Trane Lealer	-
	Pierre Keeley, Reg. No. 57,045 Fenwick & West LLP	
•	Silicon Valley Center	
	801 California Street	
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	Tel.: (650) 335-7242	
	Fax.: (650) 938-5200	

Dated:

Substitute for form 1449A/PTO	Complete if Known			
INFORMATION DISCLOSURE	Application No.	10/635,752		
STATEMENT BY APPLICANT	Filing Date	August 5, 2003		
/ 67	First Named Inventor	Vincent A. Larsen		
(APR 0 3 2006	Art Unit	2131		
	Examiner Name	Ayaz R. Sheikh		
Sheet FradeMART of 2	Attorney Docket Number	24089-09281		

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,779,117	08-17-2004	Wells
	A2	US-6,745,332	06-01-2004	Wong et al.
	А3	US-6,606,695	08-12-2003	Kamano et al.
	A4	Us-2002/0162013 A1	10-31-2002	Burnett et al.
	A5	US-6,381,694	04-30-2002	Yen ·
	A6	US-2002/0026592 A1	02-28-2002	Gavrila et al.
	A7	US-2001/0056494 A1	12-27-2001	Trabelsi
	A8	US-6,282,618	08-28-2001	Flenley
	A9	US-6,249,867	06-19-2001	Patel
	A10	US-6,185,696	02-06-2001	Noll
	A11	US-6,064,736	05-16-2000	Davis et al.
	A12	US-6,061,790	05-09-2000	Bodnar
-	A13	US-6,038,562	03-14-2000	Anjur et al.
	A14	US-5,826,242	10-20-1998	Montulli
	A15	US-5,805,882	09-08-1998	Cooper et al.
···;	A16	US-5,793,943	08-11-1998	Noll
	A17	US-5,684,951	11-04-1997	Goldman et al.
	A18	US-5,666,415	09-09-1997	Kaufman
	A19	US-5,572,694	11-05-1996	Uchino
	A20	US-5,327,531	07-05-1994	Bealkowski et al.

			FOREIGN PATENT	OCUMENTS	
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Τ ⁶

Examiner Signature	Date Considered	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				Complete if Known		
INFO		ו חופי	CLOSUDE	Application No.	10/635,752	
INFORMATION DISCLOSURE				Filing Date	August 5, 2003	
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Vincent A. Larsen	-
				Art Unit	2131	
				Examiner Name	Ayaz R. Sheikh	
Sheet	2	of	2	Attorney Docket Number	24089-09281	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C1	International Search Report, PCT/US03/02716, April 4, 2003, 4 pages.	
	C2	Written Opinion, PCT/US03/02716, November 11, 2003, 5 pages.	
	СЗ	PARK, J.S. et al., "Role-Based Access Control on the Web," ACM Transactions on Information and System Security, pp. 37-71, February 2001, vol. 4, no. 1.	
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Examiner		Date	
		Dale	
Signature		Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.